











EXHIBIT 4-A

Chevron Corporation v. Steven Donziger, et al.

Analysis of TD Bank Accounts - Balances, Investor Deposits and Transfers Between Accounts

Exhibit 4-A

Time Period: January 1, 2016 through June 30, 2018

Transfers between accounts					Investor deposit into a Personal account				Investor deposit into a Law Firm account							
Acct # Name	**2265 SRD Personal Checking Acct		**6418 SRD Personal Savings Acct		**3420 SRD Personal Checking Acct		**9857 SRD Personal Savings Acct		**8132 SRD Personal Checking Acct		**8783 Law Firm Checking Acct		**8174 Law Firm Checking Acct		TOTAL	
Month	Beg. Bal.	Avg. Bal.	Beg. Bal.	Avg. Bal.	Beg. Bal.	Avg. Bal.	Beg. Bal.	Avg. Bal.	Beg. Bal.	Avg. Bal.	Beg. Bal.	Avg. Bal.	Beg. Bal.	Avg. Bal.	Beg. Bal.	Avg. Bal.
Jan-16	8,975.88	52,876.65	262,968.14	244,514.09							5,208.32	5,208.32			277,152.34	302,599.06
1/13/16	12,000.00		(12,000.00)													
1/14/16	25,000.00		(25,000.00)													
1/25/16	50,000.00 Payment from George R Waters into Personal account - <u>none</u> transferred to a Law Firm account															
Feb-16	72,487.15	53,979.34	226,060.03	226,100.33							5,178.32	5,178.32			303,725.50	285,257.99
Mar-16	41,995.71	35,746.56	226,140.63	226,183.73							5,147.32	5,148.32			273,283.66	267,078.61
Apr-16	30,220.17	11,070.95	226,226.83	226,264.53							5,118.32	5,118.32			261,565.32	242,453.80
May-16	(962.38)	42,807.96	226,303.23	226,341.57							5,088.32	14,510.57			230,429.17	283,660.10
5/10/16	Payment from Lenczner Slaght Royce Smith deposited to Law Firm account										74,990.00 Three days later \$70,000 transferred to Personal					
5/13/16	70,000.00										(70,000.00)					
Jun-16	35,632.55	12,321.50	226,379.90	180,039.52							6,993.32	6,993.32			269,005.77	199,354.34
Jul-16	(2,220.45)	27,654.49	133,698.14	197,145.08							6,963.32	18,727.02			138,441.01	243,526.59
7/19/16	50,000.00		(50,000.00)													
7/19/16	Payment from Lenczner Slaght Royce Smith deposited to Law Firm account										104,990.00 Three days later \$100,000 transferred to Personal					
7/22/16			100,000.00								(100,000.00)					
Aug-16	26,229.53	17,739.19	260,592.01	214,073.11							11,908.32	8,298.32			298,729.86	240,110.62
Sep-16	13,123.17	40,208.92	167,554.20	142,584.83							8,298.32	8,124.98			188,975.69	190,918.73
9/19/16	50,000.00		(50,000.00)													
Oct-16	24,003.68	17,562.76	117,615.45	116,101.25							7,228.32	151,727.51			148,847.45	285,391.52
10/6/16	Payment from Lenczner Slaght Royce Smith deposited to Law Firm account										143,490.00					
10/21/16	Payment from Lenczner Slaght Royce Smith deposited to Law Firm account										99,990.00 Ten days later \$25,000 transferred to Personal					
10/31/16	25,000.00										(25,000.00)					
Nov-16	21,900.45	1,681.36	114,587.05	114,480.82	\$ -	\$ 21,354.87					200,248.32	192,330.89			336,735.82	329,847.94
11/14/16	(20,000.00)				20,000.00											
11/14/16	(1,897.73)				1,897.73											
Dec-16	226.04	211.91	114,374.58	114,393.96	20,652.86	20,872.42					189,034.85	165,437.17			324,288.33	300,915.46
12/19/16	Payment from Lenczner Slaght Royce Smith deposited to Law Firm account										64,990.00 Eight days later \$80,000 transferred to Personal					
12/27/16					80,000.00						(80,000.00)					
Jan-17			114,413.33	114,432.77	20,892.64	65,626.15					114,562.85	114,328.01			249,868.82	294,386.93
Feb-17			114,452.20	114,469.76	35,774.23	26,070.71					113,522.85	170,970.52			263,749.28	311,510.99

Chevron Corporation v. Steven Donziger, et al.

Analysis of TD Bank Accounts - Balances, Investor Deposits and Transfers Between Accounts

Exhibit 4-A

Time Period: January 1, 2016 through June 30, 2018

		Transfers between accounts				Investor deposit into a Personal account				Investor deposit into a Law Firm account						
Acct #	**2265		**6418		**3420		**9857		**8132		**8783		**8174		TOTAL	
Name	SRD Personal Checking Acct		SRD Personal Savings Acct		SRD Personal Checking Acct		SRD Personal Savings Acct		SRD Personal Checking Acct		Law Firm Checking Acct		Law Firm Checking Acct			
Month	Beg. Bal.	Avg. Bal.	Beg. Bal.	Avg. Bal.	Beg. Bal.	Avg. Bal.	Beg. Bal.	Avg. Bal.	Beg. Bal.	Avg. Bal.	Beg. Bal.	Avg. Bal.	Beg. Bal.	Avg. Bal.	Beg. Bal.	Avg. Bal.
2/14/17	Payment from George R Waters deposited to Law Firm account											50,000.00				
2/21/17	Payment from Lenczner Slaght Royce Smith deposited to Law Firm account											137,490.00 Over the next 6 months \$60,000 transferred to Personal				
Mar-17			114,487.32	94,743.44	19,999.40	13,033.77					285,917.85	268,490.36			420,404.57	376,267.57
3/20/17					25,000.00					←	(25,000.00)					
Apr-17			74,999.56	37,507.18	27,870.21	19,943.23	-	37,505.95			250,472.11	244,593.33			353,341.88	339,549.69
4/19/17			(74,999.56)	→			74,999.56									
May-17			14.80	14.80	12,109.76	9,362.26	75,011.89	75,027.82			232,594.65	216,343.09			319,731.10	300,747.97
Jun-17			14.80	14.80	6,877.86	4,665.46	75,043.74	75,059.16			210,213.94	171,937.64			292,150.34	251,677.06
6/27/17					10,000.00					←	(10,000.00)					
Jul-17			14.80	14.80	4,923.07	6,581.04	75,074.58	75,090.52			127,900.44	127,204.95			207,912.89	208,891.31
Aug-17			14.81	14.81	515.36	8,550.54	75,106.46	75,122.41			126,360.44	78,367.38			201,997.07	162,055.14
8/8/17					25,000.00					←	(25,000.00)					
Sep-17			14.81	14.81	12,689.04	10,434.58	75,138.36	62,651.78			63,326.70	39,070.61			151,168.91	112,171.78
9/15/17					10,000.00	←	(10,000.00)									
9/22/17					15,000.00	←	(15,000.00)									
Oct-17			14.81	14.81	15,359.73	11,725.44	50,165.20	32,807.31			20,336.26	19,076.90			85,876.00	63,624.46
Nov-17			14.81	14.81	4,422.91	4,018.77	15,449.41	12,944.08			17,866.26	17,024.52			37,753.39	34,002.18
11/30/17					5,000.00	←	(5,000.00)									
Dec-17			14.81	14.81	3,798.36	10,816.94	10,438.74	10,431.68			11,523.26	33,154.38			25,775.17	54,417.81
12/11/17					Payment from George R Waters deposited to Law Firm account						50,000.00 Over the next ~6 weeks \$45,000 transferred to Personal					
12/18/17					25,000.00					←	(25,000.00)					
Jan-18			14.81	14.81	19,777.44	14,325.47	10,424.62	10,417.57			35,438.26	29,792.13			65,655.13	54,549.98
1/11/18					5,000.00					←	(5,000.00)					
1/24/18					15,000.00					←	(15,000.00)					
1/30/18					Payment from Streamline Family Office deposited to Law Firm account						25,000.00					
Feb-18			14.81	14.81	2,700.26	4,822.93	10,410.51	10,403.41			40,393.26	43,309.68			53,518.84	58,550.83
2/5/18					Payment from Streamline Family Office deposited to Law Firm account						25,000.00 Over the next ~5 weeks \$20,000 transferred to Personal					
2/7/18					10,000.00					←	(10,000.00)					
Mar-18			14.81	14.81	5,532.38	7,401.79	10,396.31	10,279.91			44,708.26	55,169.16			60,651.76	72,865.67
3/13/18					10,000.00					←	(10,000.00)					

Chevron Corporation v. Steven Donziger, et al.

Analysis of TD Bank Accounts - Balances, Investor Deposits and Transfers Between Accounts**Exhibit 4-A****Time Period: January 1, 2016 through June 30, 2018**

Transfers between accounts					Investor deposit into a Personal account				Investor deposit into a Law Firm account									
Acct # Name	**2265 SRD Personal Checking Acct		**6418 SRD Personal Savings Acct		**3420 SRD Personal Checking Acct		**9857 SRD Personal Savings Acct		**8132 SRD Personal Checking Acct		**8783 Law Firm Checking Acct		**8174 Law Firm Checking Acct		TOTAL			
Month	Beg. Bal.	Avg. Bal.	Beg. Bal.	Avg. Bal.	Beg. Bal.	Avg. Bal.	Beg. Bal.	Avg. Bal.	Beg. Bal.	Avg. Bal.	Beg. Bal.	Avg. Bal.	Beg. Bal.	Avg. Bal.	Beg. Bal.	Avg. Bal.		
3/13/18			Payment from Streamline Family Office deposited to Law Firm account 75,000.00 Over the next ~7 weeks \$45,000 transferred to Personal															
Apr-18			14.81	7.41	2,375.60	4,602.80	10,163.51	10,156.43			77,313.19	61,479.85			89,867.11	76,246.48		
4/12/18					10,000.00						(10,000.00)							
4/16/18					15,000.00						(15,000.00)							
4/25/18					10,000.00						(10,000.00)							
May-18			0.00		4,562.81	5,302.17	10,149.34	10,142.27	0.00	52,629.52	42,313.19	115,861.57	\$ -	101,187.86	57,025.34	285,123.39		
5/3/18					10,000.00						(10,000.00)							
5/8/18			Payment from Forum Nobis into Personal account						\$342,045.16									
5/10/18									(342,045.16)				342,045.16					
5/10/18											125,000.00		(125,000.00)					
5/10/18									35,000.00				(35,000.00)					
5/14/18					10,000.00						(10,000.00)							
Jun-18					(604.51)	3,412.03	10,121.03	Redacted	33,142.65	Redacted	147,283.19	137,283.19	99,719.66	92,207.16	289,662.02	232,902.38		
6/4/18					10,000.00						(10,000.00)							
6/4/18					10,000.00		NO INVESTOR DEPOSITS				(10,000.00)							
Ending Balance at June 30, 2018			\$ -		\$ (104.45)		\$ 10,121.03		\$ 28,102.65		\$ 127,283.19		\$ 84,694.66		\$ 250,097.08			
							at 5/31/18		at 6/18/18								at 6/11/18	

Note:

The [REDACTED] Forum Nobis to Donziger \$342,045.16 related transactions are outlined.